



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/905,452	07/13/2001	Mohammad Sarwar Nasir	01-660	5761

20306 7590 08/24/2005

MCDONNELL BOEHNEN HULBERT & BERGHOFF LLP  
300 S. WACKER DRIVE  
32ND FLOOR  
CHICAGO, IL 60606

EXAMINER

DAVIS, DEBORAH A

ART UNIT	PAPER NUMBER
----------	--------------

1641

DATE MAILED: 08/24/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

**Application No.**

09/905,452

**Applicant(s)**

NASIR ET AL.

**Examiner**

Deborah A. Davis

**Art Unit**

1641

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 5-31-05.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-18 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-18 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |   |   |
|---|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)  | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)  | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

### DETAILED ACTION

1. Applicants' response to the Office Action mailed on March 11, 2005 has been acknowledged. Currently, claims 1-18 are pending and under consideration.

### ***Claim Rejections - 35 USC § 103***

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. Claims 1-4 and 8 are rejected under 35 U.S.C. 103(a) as being unpatentable Dixon et al (USP#4,835,100) in view of Nasir et al (Combinatorial Chemistry & High Throughput Screening, 1999, 2, 177-190).

Dixon et al teaches a method and a test kit for detecting an aflatoxin B1 using monoclonal antibodies (See abstract and column 3, lines 16-19). The antigens or antibodies to aflatoxins are conjugated to a label (see abstract) and more specifically, horseradish peroxidase (column 6, lines 34-37) and BSA (column 5, lines 12-14). Dixon et al explains that aflatoxin B1 is converted to aflatoxin B1-oxime for labeling (column 4, lines 62-68 and column 5, lines 1-15). Dixon et al explains that aflatoxins are toxic metabolites and they can act as potent carcinogens, mutagens and teratogens and are known to occur naturally in wheat and other foods (col. 1, lines 25-34) and (col. 10, lines 45-52). Dixon et al uses methanol as an extraction solvent (col. 11, lines 36-47). ELISA assay methods were used for detection of aflatoxins (column 7, lines 1-5).

Art Unit: 1641

The reference of Dixon does not teach the detection of aflatoxins in a Fluorescent Polarization Assay format, however, Nasir et al teaches field tests to determine mycotoxins (a form of aflatoxins) in human, animal and grain diseases. (pg. 18, last para.). Nasir et al teaches a homogenous assay using fluorescence polarization to analyze these mycotoxins in grains (See abstract). Mycotoxins that are extracted from grains, with a suitable solvent and the sample are added into the antibody solution. A mycotoxin antigen of interest is labeled with a fluorescent molecule (tracer) and is added to the antibody solution. Once the reaction takes place, the fluorescent polarization of the tracer is then measured (pg. 182, para. 1). Nassir et al also teaches that using fluorescent polarization assays has good sensitivity and the possibility of obtaining results rapidly without any separation and purification steps make Fluorescent Polarization more attractive than methods where one needs to physically separate the bound and unbound species before analysis.

Therefore, it would have been obvious to one of ordinary skill in the art to modify the reference of Dixon et al to detect aflatoxins utilizing Fluorescent Polarizations assay as taught by Nasir et al because this type of assay is sensitive and results can be obtained rapidly without any separation and purification steps. One would be motivated because detect a variety of forms of mycotoxins which includes aflatoxins because they are known toxins found in grains and can pose health risks.

Art Unit: 1641

4. Claims 5-7 are rejected under 35 U.S.C. 103(a) as being unpatentable over Dixon in view of Nasir et al, and further in view of and further in view of Michel et al (USP#5,741,654).

The teachings of Dixon et al in view of Nasir et al are set forth above and differ from the instant claims in not particularly pointing out a particular type of fluorescein used in the assay.

However, Michel et al discloses a Fluorescence Polarization assay for the quantification of antibodies in which a variety of fluoresceins are used as detectable moiety components of tracers, such as one mentioned in particular, the 6-aminofluorescein moiety (isomer II of fluorescein) which is one of the preferred moieties of choice in the said assay (col. 8, lines 1-22).

It would have been obvious to one of ordinary skill in the art to employ a fluoresceinamine or its isomers as binding moieties because such structures are well known in the art to work well in Fluorescence Polarization Immunoassays for quantitation of a sample. In addition, the fluorescein used for labeling in this assay would have been a functional equivalent of the fluorescent molecule used for labeling in the assay of Dixon et al in view of Nasir et al - wherein both would have worked equally as well absent unexpected results.

5. Claims 9-10 are rejected under 35 U.S.C. 103(a) as being unpatentable over Dixon et al in view of Nasir et al and further in view of McMahon et al (USP#5,166,078).

The teachings of Dixon et al in view of Nasir et al are set forth above and differ from the instant claims in not teaching the construction of a standard curve using a plurality of different known concentrations of aflatoxin.

However, McMahon et al teaches a method for measuring a hapten that is poorly soluble in an aqueous solution such as aflatoxins (col. 2, lines 45-53). The invention permits fast, safe, and convenient measurements of haptens, which are either insoluble or unstable in aqueous solution by providing standards that are soluble and stable in aqueous solution. The standards are used to determine the amount of haptens that are present in the assay (col. 1, lines 43-48). To determine the amount of hapten in a sample, the reaction of the hapten and the antibody is compared to the reaction of the hapten-conjugate and the antibody. The conjugates of the invention are used as controls in standard immunoassay (col. 2, lines 29-40). The reactivity of the conjugate was compared to aflatoxin standards and a standard curve was created relating aflatoxin levels to aflatoxin-conjugate levels (col. 3, lines 9-16).

It would have been obvious to one of ordinary skill in the art to use a plurality of aflatoxins in standard solutions having different known concentrations and comparing them with aflatoxin-conjugates to create a standard curve to permit fast, safe and convenient measurements of haptens. Further, one skilled in the art would know that certain levels of aflatoxins found in different amounts of grain are toxic to human and animals and a standard curve is needed to compare those levels that would be of concern.

Art Unit: 1641

6. Claims 11-18 are rejected under 35 U.S.C. 103(a) as being unpatentable over Nasir et al in view of Dixon et al.

The teachings of Nasir et al are set forth above and differ from the reference of Dixon in not teaching a kit.

However, Dixon et al however discloses a kit for afltoxins and explains that obvious variations of preparing a kit for convenience will be apparent to those skilled in the art and points out that kits are well developed in the patent arts and literature (col. 12, lines 28-33).

It would have been prima facie obvious to one of ordinary skill in the art to take the assay for aflatoxins as taught by Dixon et al, combined with the teachings of Nasir et al and formulate a kit. Further, it would be convenient to do so because one can enhance sensitivity of a method by providing reagents as a kit. In addition, the reagents in a kit are available in premeasured amounts, which eliminates the variability that can occur when performing the assay.

### **Response to Arguments**

1. Applicant's arguments filed May 31, 2005 have been fully considered but they are not persuasive:

8. Applicant's argument that the references of Dixon and Nasir do not teach the claimed subject matter is noted but not found to be persuasive. Applicant argues that the reference of Dixon does not state that the conversion of aflatoxin to aflatoxin oxime

Art Unit: 1641

was for the purposes of labeling. Applicant further asserts that Dixon converted aflatoxin B1 into aflatoxin B1-oxime in order to conjugate bovine serum albumin (BSA) for use as an immunogen. Applicant further argues that Nasir teaches away from labeling aflatoxin oxime with a fluorophore because Nasir teaches forming a tracer for a fluorescence polarization assay by conjugating a fluorophore to a mycotoxin antigen, not to a derivative of a mycotoxin antigen. These arguments are noted but not found to be persuasive.

In response, although it is noted that the examiner did recite in the previous Office Action that BSA conjugated to aflatoxin B1 was used for labeling purposes, the examiner directs applicant's attention to the competitive direct ELISA, comprising aflatoxin B1 conjugated to OA that competed effectively with the solid phase for binding the antibody (column 7, lines 51-55). Examiner also directs applicant's attention to a test kit for detecting aflatoxin B1, wherein the ***antigen or the antibody is labeled*** for detection for aflatoxin B1. Dixon teaches that aflatoxin B1 does not possess reactive groups for conjugation, therefore, it has to first be converted to aflatoxin B1-oxime before being conjugated to a label (column 4, lines 62-68). Although applicant asserts that Nasir teaches labeling mycotoxins and not aflatoxins, this is not found persuasive because an aflatoxin is a mycotoxin as evidenced by Wilson et al ("Use of the Mycosep Multifunctional Cleanup Column for Liquid Chromatographic Determination of Aflatoxins in Agricultural Products, Analytical Chemistry, Vol., 74, No. 6, 1991, column 1, 2<sup>nd</sup> paragraph). Further, as stated in the previous Office Action, one would be motivated to combine the teachings of Dixon and Nasir to detect the many types of mycotoxins,



Art Unit: 1641

namely aflatoxins because they are known toxins found in grains and can pose health risks.

9. Applicant's argument that the examiner has not show that the prior art teaches a reasonable expectation of success of aflatoxin oxime conjugated to a fluorophore has the specificl property of being able to bind to an antibody specific for aflatoxin to product a detectable change in fluorescence polarization. This argument is noted but not found to be persuasive.

In response, as recited in the above arguments, the reference of Dixon taught that aflatoxin B1 does not possess the properties for conjugation and therefore has to be converted to aflatoxin B1 oxime. Dixon teaches the labeling of aflatoxin B1 oxime in a competitive assay, which involves antibody binding. Therefore, it would appear that Nasir et al would have a reasonable expectation of success in modifying the reference of Dixon et to include detection of aflatoxins utilizing a fluorescence polarization assay.

### ***Conclusion***

10. No claims are allowed.

**THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within

Art Unit: 1641

TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Deborah A. Davis whose telephone number is (571) 272-0818. The examiner can normally be reached on 8-5 Monday thru Friday.

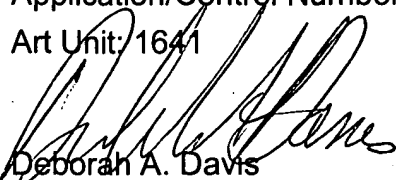
If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Long Le can be reached on (571) 272-0823. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Application/Control Number: 09/905,452

Page 10

Art Unit: 1641



Deborah A. Davis

Remsen Bldg.

Room 3D58

August 15, 2005



LONG V. LE

SUPERVISORY PATENT EXAMINER

TECHNOLOGY CENTER 1600

08/19/05